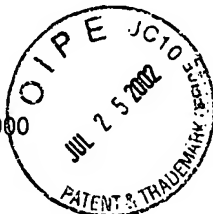




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,493	03/04/2002	Thomas Raschke	04035/244910

00826  
ALSTON & BIRD LLP  
BANK OF AMERICA PLAZA  
101 SOUTH TRYON STREET, SUITE 4000  
CHARLOTTE, NC 28280-4000

CONFIRMATION NO. 6041

## FORMALITIES LETTER



\*OC000000007798436\*

Date Mailed: 04/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

*H. Truong*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Raschke et al.  
Appl No.: 10/090,493  
Filed: March 4, 2002  
For: ACTIVE INGREDIENT COMBINATIONS OF SURFACE-ACTIVE CITRIC  
ESTERS AND INCLUSION COMPOUNDS OF CYCLODEXTRINS AND  
RETINOIDS, AND COSMETIC AND DERMATOLOGICAL PREPARATIONS  
CONTAINING SUCH MIXTURES

Confirmation No.: 6041

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

COPY OF PAPERS  
ORIGINALLY FILED

RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application dated April 4, 2002, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- ☐ Applicant claims small entity status
- ☒ Check in the amount of \$130.00 to cover the surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☒ Other: Petition for a two-month extension of time under 37 C.F.R. 1.136(a) for submitting missing parts, along with a check in the amount of \$400.00 to cover the fee for extension of time. Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,



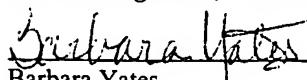
Andrew T. Meunier  
Registration No. 40,726

Customer No. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Atlanta Office (404) 881-7000  
Fax Atlanta Office (404) 881-7777

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on July 19, 2002.

  
Barbara Yates  
ATL01/11241673v1



COPY OF PAPERS  
ORIGINALLY FILED

Attorney's Docket No. 04035944910

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Raschke et al.  
Appl No.: 10/090,493 Confirmation No. 6041  
Filed: March 4, 2002  
For: ACTIVE INGREDIENT COMBINATIONS OF SURFACE-ACTIVE CITRIC  
ESTERS AND INCLUSION COMPOUNDS OF CYCLODEXTRINS AND  
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CONTAINING SUCH MIXTURES

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

SUBMITTAL OF DECLARATION  
UNDER 37 C.F.R. § 1.63

Sir:

Enclosed is a Declaration and Power of Attorney for the above-identified patent application that has been executed by the named inventors.

A check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Andrew T. Meunier  
Registration No. 40,726

Customer No. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Atlanta Office (404) 881-7000  
Fax Atlanta Office (404) 881-7777

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on July 19, 2002.

Barbara Yates  
ATL01/11241726v1